Effective Healthcare Data Governance: How One Hospital System is Managing its Data Assets to Improve Outcomes

INTRODUCTION

As healthcare transitions into an analytics-driven industry, data has become its most valuable asset—and one of the most challenging to manage. To support care delivery and other functions, health systems must integrate data from a complex array of internal applications including inpatient and ambulatory EHRs, laboratory information systems, pharmacy systems, and ERP systems. In a parallel need, these organizations must also pull data from affiliated providers’ EHR systems, payers, external laboratories, pharmacies and benchmarking sources. Good data governance practices are clearly essential for hospitals to ensure that all this data is well understood, trusted, accessible, and secure—particularly to validate the accuracy of dashboards, scorecards, and other analytic outputs in a data-driven setting. Further, establishing data governance practices now positions organizations for value-based care, another significant shift in the healthcare landscape in which timely access to trusted data is increasingly important.¹

With an eye on creating such an environment, The University of Kansas Hospital has set an overarching goal to mature into an
We had a growing number of people who were spending too much of their time gathering, normalizing, analyzing and reporting on data from multiple sources. With data governance now in place, supported by our enterprise data warehouse, our people can focus instead on understanding the data to identify and make meaningful improvements.

Randy Jones
IT Data Manager

advanced analytics organization, capable of managing very large data sets and performing predictive analytics in pursuit of the best possible outcomes. To achieve these objectives, The University of Kansas Hospital required a “single source of truth” that users could rely on for quality data pulled from disparate sources and systems. While this could be accomplished in part with technology, they also needed to put in place a solid framework for data governance. In simplest terms, this is the management of data to keep it secure, available, usable and reliable, by a governing body that establishes procedures and execution plans.

Certain data governance best practices have been identified that touch on a range of issues, from data stewardship to quality and availability. To put these and other best practices in place, such as active commitment and participation from senior leaders and tying data governance to a real world clinical or operational endeavor to realize the necessary level of engagement, The University of Kansas Hospital subsequently formed a data governance committee.

THE SHORTFALLS OF MANAGING DATA WITHOUT A DATA GOVERNANCE MODEL

The University of Kansas Hospital faced several common data challenges for healthcare organizations, beginning with the amount of time required to manually collect, acquire and validate data from so many sources. This left staff with less time to actually study the data for improvement opportunities. As internal and external needs for information grew, the more expensive and inefficient this process became. It also became more difficult to get the right data in the hands of the right decision-makers. The Hospital needed a more efficient alternative, however, than just adding more full-time employees to manage this growing volume of data.

Data quality issues also regularly surfaced, illustrating the need for extensive clean-up of the different data sources. Non-standard data terms and definitions were among the most frequent problems, in addition to data that was inaccurate or missing altogether. Conflicting data was another challenge, such as the different values for length of stay that board members came across in two different reports. These and other discrepancies were often driven by the timing of when the information was produced, or differing data type definitions in different source systems.

The end result was that users didn’t fully trust the data. To overcome this distrust, The University of Kansas Hospital wanted to implement standard terms and definitions, and put in place better data
management processes and practices. They also wanted to make data more accessible to clinical and operational end-users. Finally, they wanted to create a single source of truth for information that could take into account the many different people involved in data gathering and analysis and their varying degrees of training and experience.

AUTOMATED ANALYTICS PAIRS WITH PEOPLE-IMPLEMENTED POLICIES

To address the distrust in data, The University of Kansas Hospital implemented a data governance committee, sanctioned by senior leadership and provisioned with executive authority to govern all business intelligence, data and information assets. To keep up with its growing data reporting needs, rather than continuously adding full-time employees, The University of Kansas Hospital opted instead to invest in a modern analytics infrastructure. The chief features of such a solution significantly aid in the transformation to a data-driven culture.

- Automation of the data collection process
- Timely, accurate data for decision-making
- The right data accessible to the right users
- A switch in focus from data reporting to identifying and executing improvements

To automate data collecting and reporting, the Hospital deployed a late-binding enterprise data warehouse and analytics applications from Health Catalyst.

THE DATA GOVERNANCE COMMITTEE CHARTER

From the outset, this committee made it clear that its purpose wasn’t to own data, but to facilitate its use for effective decision making. To that end, the committee pursues four primary goals for data:

- **Information governance.** This involves bringing clinical, administrative, and technology partners together to jointly design and optimize information assets in alignment with the organization’s strategies and goals. It also includes the declaration of sources of truth, systems of record, roles and responsibilities, information delivery standards and certified enterprise reports/dashboards. Data security and access decisions are within the scope of this governance.

- **Quality.** Successful decision making is enabled by data that is complete, timely, accurate and consistent. The Committee
assures data quality through standardization, process engineering and the creation and monitoring of data quality metrics.

**Usability.** Usability includes easy-to-use applications that promote data interaction and informed decision making, as well as creating a common data language for understanding organizational performance. Tools to create such usability include data dictionaries, training, a metadata repository, and access to trusted data sets as close to real-time as possible.

**Availability.** The Committee strives to implement the appropriate analytical infrastructure that makes data accessible to clinical and operational users when they need it, defined by their respective clinical and operational needs.

THE DATA GOVERNANCE COMMITTEE STRUCTURE

As illustrated in Figure 1, this committee is segmented into different groups with specific responsibilities. Overseeing vision and strategy for improvement efforts is the Data Governance Executive Group, while the Data Advisory Group functions at a more tactical level and addresses data quality issues, work priorities and the creation of

![Data Governance Committee Diagram](image-url)

Figure 1. The University of Kansas Hospital data governance structure
working groups. Working groups are composed of subject matter experts in the domain the group is formed to study. Other key committee members include the Data Governance Support Team, made up of technology, process improvement and clinical experts.

BEST PRACTICES FOR HEALTHCARE DATA GOVERNANCE

To avoid the mistakes that can lead to an unsuccessful data governance program, The University of Kansas Hospital devised and implemented a number of best practices to build a solid data governance structure that would serve the hospital for years to come.

- **Secure commitment from senior leaders.** The implementation of an advanced analytical infrastructure and an effective improvement strategy is a significant change management effort. It is also a shared responsibility across the organization. Accordingly, the visible and active support of senior leaders is paramount. The Hospital’s own executive team made sure the program is staffed with the necessary technology, operational and clinical expertise.

- **Make it about improvement, not an IT project.** While IT expertise is required, IT is a steward of the data, not the owner. The overall purpose of such projects is about getting good data into the hands of end users so they can make the best decisions about care. Indeed, the responsibility of data ownership falls to clinical and operational decision-makers.

- **Identify a “burning platform.”** When the project is launched, it should be tied to a real world clinical or operational endeavor to realize the necessary level of engagement. For The University of Kansas Hospital, the burning platform was the implementation of a new revenue cycle system for its clinics—which created a data gap and a disruption in reporting key organizational metrics.

- **Aim for transparency instead of gatekeeping.** The data governance committee should not function as a gatekeeper. Its role is to transparently provide end-users with good quality data to use in their daily jobs.

- **Choose the right leader.** The most effective person to lead the initiative should have both the necessary technical skills and customer service savvy, in order to develop partnerships with clinical and administrative leaders.

- **Provide adequate resources.** Data governance cannot be a low priority or side job. Dedicated resources are critical to drive progress and maturity.

IT cannot own data governance. IT is a steward of the data and provides a support structure. Clinical and business leaders need to own the data and assure its accuracy.

Randy Jones
IT Data Manager
RESULTS

With a solution comprised of intelligent technology and prudent best practices, The University of Kansas Hospital achieved its primary goals while further transforming into an analytics-driven culture.

**70+ standardized enterprise data definition approvals planned for completion in first year.** The organization approved seven definitions in the first quarter to more than 66 in the second quarter—averaging four decisions per months, and growing. End-users are assuming ownership of the data and accepting responsibility to manage data quality. A number of data quality issues have been fixed and improved data quality. In turn, trust in the data has grown among end-users.

**System-wide executive and clinical engagement in data governance.** Due in no small part to an executive team that has been visibly and strongly supportive of the Data Governance Committee, and provided it with the necessary resources, two important events have happened. First, the committee has assumed overall responsibility for managing the availability, usability, integrity, and security of the organization’s data. Second, key clinical and operational leaders are becoming more engaged in the analytics and improvement efforts, with participation rates increasing to 60 percent in bi-monthly governance meetings.

**Creation of a multi-year business intelligence/data governance roadmap.** The University of Kansas Hospital is moving from a fragmented business intelligence environment to the more cohesive enterprise perspective ideal for managing large data sets and using predictive analytics to create the best outcomes. In support of this journey, they have developed a business intelligence roadmap (illustrated in Figure 2) against which they track their progress. The Data Governance Committee is focused on making progress on several fronts including:

- Building an advanced business intelligence (BI) architecture
- Extracting quality data from multiple source systems into a single source of truth (the EDW)
- Automating data extraction and reporting as much as possible
- Advanced data modeling based on a common vocabulary and definitions
- Providing end-users advanced analytical tools with access to good data in pursuit of good decision-making and outcome improvements.
Ongoing improvements in data governance to allow end-users to effectively own and manage their information needs.

Education of end-users to provide them the advanced analytical and improvement skills required to optimize performance.

Establishing a data-driven mentality in The University of Kansas Hospital culture.

**WHAT'S NEXT**

The University of Kansas Hospital sees data governance as a critical component of a successful data-driven culture. As such, focus will remain on building the most successful data governance program possible. The Hospital plans to build a data governance dashboard, for example, to track and manage governance metrics. Future metrics under consideration include the number of data

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**KUH BI Roadmap**

<table>
<thead>
<tr>
<th>BI architecture</th>
<th>Data sources / data currency</th>
<th>Types of analysis / use of analytics</th>
<th>Data models</th>
<th>Data governance</th>
<th>Tools</th>
<th>Skills</th>
<th>Culture / enterprise data literacy</th>
</tr>
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<tbody>
<tr>
<td>None or several point solutions</td>
<td>Transaction application from one system or BI tool specific from limited number of internal source systems</td>
<td>Automated internal reporting (some)</td>
<td>Departmental</td>
<td>Independent &amp; departmental</td>
<td>Redundant and/or desktop toolsets</td>
<td>SQL, Excel, Access, light data modeling, light visualization</td>
<td>Value of data under-appreciated &amp; “good enough” decisions</td>
</tr>
<tr>
<td>Central infrastructure basics implemented</td>
<td>ETL established for primary data sources</td>
<td>Enterprise KPIs &amp; automated external reporting</td>
<td>Common vocabulary, start schema, dimensional</td>
<td>Common policies &amp; standards, centrally managed KPIs &amp; security management</td>
<td>Consolidated data management tools</td>
<td>In-depth knowledge of physical &amp; logical data modeling, light statistic and terminology standards</td>
<td>Champions emerging &amp; growing emphasis on fact-based decisions</td>
</tr>
<tr>
<td>BI core &amp; self-service infrastructure in place</td>
<td>ETL established for secondary data sources</td>
<td>Predictive &amp; prescriptive analytics &amp; evidence-based analytics</td>
<td>Multiple data models</td>
<td>Agreed-upon agenda &amp; priorities, data normalization, &amp; initiate source system change</td>
<td>Extended analytic capabilities</td>
<td>In-depth knowledge of statistics &amp; operations analysis, procedural programming</td>
<td>Training on data literacy identifying BI opportunities, &amp; change</td>
</tr>
<tr>
<td>Optimized infrastructure (e.g., data marts, ODS)</td>
<td>Web, patients, genomics, &amp; other external sources (e.g., UHC, eMeasure, Comparative Analytics)</td>
<td>Analytics combining multiple &amp; complex data sources</td>
<td>No schema</td>
<td>Owners &amp; Stewards of internal &amp; external data, complex analysis review &amp; delivery</td>
<td>Specialized, targeted capabilities</td>
<td>NLP, genomics, &amp; rules engine programming</td>
<td>Engrained understanding of BI capabilities &amp; limitations</td>
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**FY2015** | **FY2016** | **FY2017**

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SQL = structured query language; ETL = extraction transformation loading; KPI = key performance indicator; ODS = operational data store; NLP = natural language processing

The Advisory Board BI Maturity Model

Figure 2. Data governance multi-year roadmap
The highly visible support from senior executive leadership has been instrumental to our success.

Chris Harper
Director
Business Architecture & Analytics

owners identified, the number of processes documented, the number of dollars saved (or expenses avoided) and usage of the enterprise data warehouse (such as efficiencies gained, reduction in usage of non-enterprise data solutions, and measuring the value of applications to assure customers are using the business intelligence solutions that have been developed).

A sustained focus on data quality and accessibility to facilitate trust and usage is also ongoing. Elements include:

- Continuing to build a single source of truth for data and key metrics.
- Improving data capture (many metrics are not discretely captured requiring the use of data samples to estimate actual volumes).
- Automating the many metrics that are still tracked manually.
- Learning how to improve the measurement of cost avoidance.

With such a commitment to data integrity and usability in place, The University of Kansas Hospital is well-positioned not only to perform analytics in the pursuit of improved outcomes, but to lead the way.

References


ABOUT HEALTH CATALYST

Health Catalyst is a mission-driven data warehousing and analytics company that helps healthcare organizations of all sizes perform the clinical, financial, and operational reporting and analysis needed for population health and accountable care. Our proven enterprise data warehouse (EDW) and analytics platform helps improve quality, add efficiency and lower costs in support of more than 50 million patients for organizations ranging from the largest US health system to forward-thinking physician practices.

For more information, visit www.healthcatalyst.com, and follow us on Twitter, LinkedIn, and Facebook.